

Attorney Docket No.: 085027-0024

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 89518
 :
 Mou-Shiung Lin : Confirmation Number: 07/25/2012 MBANGURA 00000002 502624 6180426
 : 01 FC:1599 2365.00 DA
 Application No.: 09/258,911 : Group Art Unit: 2825
 :
 Patent No.: 6,180,426 : Issued: January 30, 2001
 :
 For: HIGH PERFORMANCE SUB-SYSTEM DESIGN AND ASSEMBLY

NOTICE OF STATUS CHANGE AND 07/25/2012 MBANGURA 00000001 502624 6180426
COMMUNICATION UNDER 37 C.F.R. § 1.28(c) & (d) 01 FC:1599 1670.00 DA

Director of U.S. Patent and Trademark Office
 Attn: Maintenance Fees
 2051 Jamieson Avenue, Suite 300
 Alexandria, VA 22314

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted via facsimile to the
 United States Patent and Trademark Office at facsimile number (571-273-6500)
 on July 20, 2012.

Melissa J. Lujan
 Melissa J. Lujan

Sir:

Applicant previously paid certain fee(s) listed on attached Appendix A as a small entity, but such fee(s) should have been paid as a large entity. Pursuant to 37 C.F.R. § 1.28(c), Applicant submits payment of any deficiency between the amount of each fee paid as a small entity and the amount that is now due as a large entity. Pursuant to the requirements of 37 C.F.R. § 1.28(c)(1) and (2), the attached Appendix A shows the calculation of the deficiency owed and itemization of the deficiency payment for this application or patent.

The Commissioner is authorized to charge Deposit Account No. 502624 in the amount of \$4,035.00 the total deficiency payment owed as calculated on attached Appendix A. The Commissioner is hereby authorized to charge payment of any other fee deficiency or surcharge associated with this communication or credit any overpayment to Deposit Account No. 502624.

Applicant submits that the status as a small entity was previously established in good faith, and fees as a small entity were paid in good faith, in this application or patent, and it is later discovered that such status as a small entity was established in error, or that through error the

Application No. 09/258,911

United States Patent and Trademark Office was not notified of a loss of entitlement to small entity status as required by 35 C.F.R. § 1.27(g)(2). Pursuant to 37 C.F.R. § 1.28(c), Applicant requests the error be excused in this application or patent.

Pursuant to 37 C.F.R. § 1.28(d), the payment of deficiency operates as notification of loss of small entity status. Applicant requests that any deficiency payment (based on a previous erroneous payment of a small entity fee) submitted under 37 C.F.R. § 1.28(c) be treated under 35 C.F.R. § 1.27(g)(2) as a notification of a loss of entitlement to small entity status.

Applicant's undersigned attorney may be contacted at the address and telephone number set forth below.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

/Dennis A. Duchene/
Dennis A. Duchene
Registration No. 40,595

4 Park Plaza, Suite 1700
Irvine, California 92614
Phone: 949-851-0633
Facsimile: 949-851-9348
Date: July 20, 2012

**Please recognize our Customer No. 89518
as our correspondence address.**

Application No. 09/258,911

APPENDIX A

Date Fee Paid	Type of Fee	Fee Paid	Current Large Entity Fee	Deficiency Owed Amount
July 16, 2008	Maintenance Fee (7.5 years)	\$1,180.00	\$2,850.00	\$1,670.00
June 25, 2012	Maintenance Fee (11.5 years)	\$2,365.00	\$4,730.00	\$2,365.00
Total deficiency payment owed				\$4,035.00

Electronic Acknowledgement Receipt

EFS ID:	13307517
Application Number:	09258911
International Application Number:	
Confirmation Number:	4527
Title of Invention:	HIGH PERFORMANCE SUB SYSTEM DESIGN AND ASSEMBLY
First Named Inventor/Applicant Name:	MOU-SHIUNG LIN
Customer Number:	28112
Filer:	Dennis Alan Duchene/Melissa Lusian
Filer Authorized By:	Dennis Alan Duchene
Attorney Docket Number:	M5LIN98-004
Receipt Date:	20-JUL-2012
Filing Date:	01-MAR-1999
Time Stamp:	19:26:56
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no				
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	085027-0024Transmittal.pdf	67356 572740a13246736485C007a6577411e247960a	no	1
Warnings:					
Information:					

2	Assignee showing of ownership per 37 CFR 3.73(b).	085027-0074StatementUnder373b.pdf	83918	no	1
Warnings: Information:					
3	Power of Attorney	085027-0024POA.pdf	84357	no	1
Warnings: The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing					
Information:					
Total Files Size (in bytes):				235631	
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Mou-Shiung Lin / Megica Corporation
Application No./Patent No: 09/258,911 / 6,180,426 Filed/Issue Date: March 1, 1999 / January 30, 2001
Entitled: HIGH PERFORMANCE SUB-SYSTEM DESIGN AND ASSEMBLY
Megica Corporation, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is ____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 023119, Frame 0387, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

<u>/Dennis A. Duchene/</u>	<u>July 20, 2012</u>
Signature	Date
<u>Dennis A. Duchene, Registration No. 40,595</u>	<u>949-851-0633</u>
Printed or Typed Name	Telephone Number
<u>Attorney For Assignee</u>	
Title	

PTO/SB/47 (03-05)

Approved for use through 03/31/2012, OMB 0651-0016

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

"FEE ADDRESS" INDICATION FORM

Address to:
Mail Stop M Correspondence
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Fax to:
571-273-6500

- OR -

INSTRUCTIONS: The issue fee must have been paid for application(s) listed on this form. In addition, only an address represented by a Customer Number can be established as the fee address for maintenance fee purposes (hereafter, fee address). A fee address should be established when correspondence related to maintenance fees should be mailed to a different address than the correspondence address for the application. **When to check the first box below:** If you have a Customer Number to represent the fee address. **When to check the second box below:** If you have no Customer Number representing the desired fee address, in which case a completed Request for Customer Number (PTO/SB/125) must be attached to this form. For more information on Customer Numbers, see the Manual of Patent Examining Procedure (MPEP) § 403.

For the following listed application(s), please recognize as the "Fee Address" under the provisions of 37 CFR 1.363 the address associated with:

☒ Customer Number: **23696**

OR

☐ The attached Request for Customer Number (PTO/SB/125) form.

PATENT NUMBER (if known)	APPLICATION NUMBER
6,180,426	09/258,911

Completed by (check one):

☐ Applicant/Inventor

/Dennis A. Duchene/

Signature

☒ Attorney or Agent of record 40,595
(Reg. No.)

Dennis A. Duchene

Typed or printed name

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed.
(Form PTO/SB/96)

949-851-0633

Requester's telephone number

☐ Assignee recorded at Reel _____ Frame _____

July 20, 2012

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND COMPLETE FORMS TO THIS ADDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.